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TRANSMITTAL FORM			Application No.	10/520,879		
			Filing Date	January 7, 2005		
(to be used for all correspondence after initial filing)			First Named Inventor	Toshishige Shimamura		
			Art Unit			
			Examiner Name			
Total Number of Pages in This Submission		13	Attorney Docket Number 96790P478		)P478	
ENCLOSURES (check all that apply)						
Fee Transmittal Form		Drawing(s)			After Allowance Communication o TC	
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/declaration(s)		Petition to Convert a Provisional Application		Proprietary Information		
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Express Abandonment Request		Terminal Disclaimer			Other Enclosure(s) please identify below):	
Information Disclosure Statement		Request for Refund			or art references; Japanese ce Action; postcard	
PTO/SB/08		CD, Number of CD(s)				
Certified Copy of Priority Document(s)		Landscape Table on CD				
Response to Missing Parts/ Incomplete Application		Remarks		]L		
Basic Filing Fee		Remarks				
Declaration/POA						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or	Eric S. Hyman, Reg. No. 30,139					
Individual name	BLAKELY, SOKOLOFE, TAYLOR & ZAEMAN LLP					
Signature	nature					
Date 212/108						
CERTIFICATE OF MAILING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Typed or printed name Vi Hoang						
Signature	9			Date	3/20/Or	

Complete if Known **TRANSMITTAL** Application Number 10/520.879 Filing Date January 7, 2005 First Named Inventor Toshishige Shimamura Patent fees are subject to annual revision **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27. Art Unit TOTAL AMOUNT OF PAYMENT Attorney Docket No. 96790P478 METHOD OF PAYMENT (check all that apply) Check Credit card Money Order None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee ☐ Charge fee(s) indicated below ★ Charge any additional fee(s) or underpayment of fee(s) ▼ Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** Small Entity Large Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) 2051 1051 130 65 Surcharge - late filing fee or oath 2052 1052 50 <sup>25</sup> Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 1251 120 2251 60 Extension for reply within first month 2252 1252 460 230 Extension for reply within second month 1.050 2253 1253 525 Extension for reply within third month 1254 1,640 2254 820 Extension for reply within fourth month 1255 2,230 2255 1,115 Extension for reply within fifth month 1401 510 2401 <sup>255</sup> Notice of Appeal 1402 510 2402 <sup>255</sup> Filing a brief in support of an appeal 1403 1,030 2403 515 Request for oral hearing

SUBMITTED BY

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Signature

Registration No. (Attorney/Agent) 30,139

Telephone (310) 207-3800

Date 2(216)

1,510 Petition to institute a public use proceeding

180 Submission of Information Disclosure Stmt

405 Filing a submission after final rejection (37 CFR § 1.129(a))

405 For each additional invention to be examined (37 CFR § 1.129(b))

SUBTOTAL (2)

<sup>50</sup> Processing fee under 37 CFR 1.17(q)

130 Petitions to the Commissioner

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (vfr 12/15 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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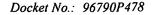
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Other fee (specify)





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e the Application of:

TOSHISHIGE SHIMAMURA, ET AL.

Application No.: 10/520,879

Filed: January 7, 2005

For: BIOMETRIC RECOGNITION

**APPARATUS** 

Art Group:

Examiner:

Confirmation No.:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated February 12, 2008 (copy enclosed herewith) in a counterpart Japanese application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Vi Hoang

Date